

**NEW JERSEY BOARD OF PUBLIC UTILITIES**  
**TWO GATEWAY CENTER**  
**NEWARK, NJ 07102**

**Energy Agent and Private Aggregator Registration**

(Also applicable for Energy Consultant)

***Please Type or Print***

1. Legal Name of Applicant:

\_\_\_\_\_

D/B/A or Trade Name (if applicable):

\_\_\_\_\_

2. Principal Business Address:

\_\_\_\_\_

\_\_\_\_\_

Telephone Number: (\_\_\_\_) \_\_\_\_\_

Fax: (\_\_\_\_) \_\_\_\_\_

E-mail address: \_\_\_\_\_

Internet address: \_\_\_\_\_

Mailing Address if different from above:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

3. Type of Application being applied for: (circle one):

Energy Agent

Private Aggregator

Both

3a. If Energy Agent, is this also an application for the category of Energy Consultant?

\_\_\_\_ Yes

\_\_\_\_ No

4. Type of Ownership (circle one):

Corporation

Partnership

Sole Proprietor

Limited Partnership

Limited Liability Company

5. Key Operating Personnel to be responsible for conduct of applicant's business in New Jersey:

\_\_\_\_\_

\_\_\_\_\_

6. Please provide names, titles, and telephone numbers of any Directors, Officers, Partners, or Sole Proprietors :

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7. Please provide the name, title and telephone number of the regulatory contact person.

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8. Is the applicant or any of the key operating personnel, officers, directors, partners, or owners now under investigation in New Jersey, or any other state, or federal jurisdiction in connection with the sale or delivery of electricity or natural gas?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

If yes, attach a schedule giving details and provide relevant documents.

9 . Has the applicant or any of the key operating personnel, officers, directors, partners, or owners, been indicted, arrested (other than for motor vehicle violations) or convicted of any offense, crime, misdemeanor, or disorderly persons charge in New Jersey or any other state, or by the federal government ?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

If yes, attach a schedule giving details and provide relevant documents.

10. Has the applicant or any of the key operating personnel, officers, directors, partners, or owners, ever had a license, or right to engage in any business or profession, revoked, denied, suspended, or restrained by any agency of New Jersey or any other state, or by the federal government in connection with the sale or delivery of electricity or natural gas?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

If yes, attach a schedule giving details and provide relevant documents.

11. Has the applicant or any of the key operating personnel, officers, directors, partners,, or owners, of your organization ever been subject to any disciplinary proceeding in connection with a license or right to engage in any business or profession in New Jersey, any other state, or by the federal government in connection with the sale or delivery of electricity or natural gas?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

If yes, attach a schedule giving details and provide relevant documents.

12. Has the applicant or any of the key operating personnel, officers, directors, partners, or owners ever filed a petition in bankruptcy or reorganization or been affiliated with any entity that has filed a petition in bankruptcy or reorganization in connection with the sale or delivery of electricity or natural gas?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

If yes, give particulars on a separate schedule containing date of bankruptcy or reorganization proceedings, copy of petition in bankruptcy and copy of discharge, if applicable.

13. Within the past three years, has the applicant or any of the operating personnel, officers, directors, partners, or owners been investigated or involved as a defendant in any regulatory proceeding?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

If yes, give particulars on a separate schedule containing date of such investigation or litigation, regulatory body or authority initiating such proceeding and resolution.

**For Energy Consultant only:**

14. If already registered with the Board as an Energy Agent, provide registration number

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15. Please provide the name, address and telephone number of the resident agent in New Jersey who is intended to satisfy the BPU requirement that Energy Consultant's must maintain an office within New Jersey.

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\_\_\_\_\_  
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**Financial and Business Information**

A. Attach audited financial statements from the latest two fiscal years or covering the life of the business, whichever is shorter.

B. Provide a reference from a licensed lending institution which includes: How long has the applicant been a customer?; types of accounts maintained; outstanding debt; payment history; level of account balances maintained, e.g. five figures, six figures etc..

C. Provide a company profile including; the length of time the registrant has been in business, the length of time in the electric and/or natural gas business, a summary of business affiliations and a summary of service offerings.

D. Describe experience of applicant and key operating personnel, officers, directors, partners, or owners of your organization in electric power and/or natural gas business, as applicable, and/or evidence of the ability to aggregate large numbers of customers.

E. Attach a list of the projected franchise areas in which the applicant expects to be offering services.

F. Attach a list of licensed electric power or gas suppliers through which the registrant has arranged for the delivery of electric energy, natural gas and/or electric energy or gas services.

Energy Agent and Private Aggregator Registration

## ***CERTIFICATION UNDER OATH***

1. I hereby certify that I am the \_\_\_\_\_ of the applicant and have been authorized to file this application and certification on behalf of the applicant.
2. I hereby certify that I have carefully examined all of the statements contained in this applicant and in the attachments hereto and made a part hereof, that I have knowledge of the matters set forth herein, that all statements made and matters set forth herein are true and correct to the best of my knowledge, information, and belief and that I know of no material omission.
3. I hereby certify that the applicant agrees to comply with all standards, rules and regulations applicable to this registration .
4. I also certify that the applicant shall provide such information as the Board or its staff shall require to assist the Board in making any determination concerning revocation, suspension, issuance or renewal of the registration pursuant to N.J.S.A. 48:3-81.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 2000 at \_\_\_\_\_  
(day) (month) (location)

Signature: \_\_\_\_\_

\_\_\_\_\_  
(title)

NOTARIZATION:

\_\_\_\_\_